

**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**☐ Declaration Submitted with Initial Filing
☐ Declaration Submitted after Initial Filing

Attorney Docket Number	X-14844
First Named Inventor	FERRITTO, Rafael, et al
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AMIDE LINKER PEROXISOME PROLIFERATOR ACTIVATED RECEPTOR MODULATORS

the specification of which
☐ is attached hereto
OR☒ was filed on
(MM/DD/YYYY)

06/11/2003

as United States Application Number or PCT International

Application
Number

PCT/US03/16207

and was amended on
(MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional applications(s) listed below.

Application Number(s)

60/390,102

Filing Date (MM/DD/YYYY)

06/19/2002

☐ Additional provisional application
numbers are listed on a supplemental
priority sheet attached hereto.*all inventors*
Indy: EP

Please type a plus sign (+) inside this box ☐

PTO/SB/01 (8-86) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)


☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Attorney Name	Reg. No.
Arvie J. Anderson	45,283
Lynn D. Apelgren	45,341
Robert A. Armitage	27,417
Brian P. Barrett	39,597
Michael T. Bates	34,121
Roger S. Benjamin	27,025
Gary M. Birch	48,881
William R. Boudreaux	35,798
Steven P. Caltrider	36,487
Paul R. Cantrell	38,470
John Cleveland	50,697
Charles E. Cohen	34,585
Donald L. Corneglio	30,741
Gregory A. Cox	47,504
Paula K. Davis	47,517
John C. Demeter	30,187
Manisha A. Desai	43,585
Paul J. Gaylo	38,808
Francis O. Glnah	44,712
Janet A. Gongola	48,438
Amy E. Hamilton	33,894
Danica Hostettler	51,820
Thomas E. Jackson	33,064
Soonhee Jang	44,802
Gerald P. Keleher	43,707
James J. Kelley	41,888
Paul J. Kolvuniemi	31,533

Attorney Name	Reg. No.
Thomas LaGrandeur	51,028
Robert E. Lee	27,919
Kirby Lee	47,744
James P. Leeds	35,241
Nelson L. Lentz	38,537
Elizabeth A. McGraw	44,648
Douglas K. Norman	33,267
Arleen Palmberg	40,422
Thomas G. Plant	35,784
Edward Prehn	37,212
Grant E. Reed	41,264
James J. Sales	33,773
Michael J. Seyles	32,295
David M. Stemerick	40,187
Mark J. Stewart	43,838
Robert D. Titus	40,208
Robert C. Tucker	45,185
Tina M. Tucker	47,145
MaCharri Vorndran-Jones	38,711
Gilbert T. Voy	43,972
Thomas D. Webster	39,872
Lawrence T. Welch	28,487
Alexander Wilson	45,782
Mark A. Winter	P53,782
MaryAnn Wiskerchan	45,511
Dan L. Wood	48,613

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:											
Name		ELI LILLY AND COMPANY									
Address		ATTN: MaCharri VORNDRAN-JONES									
Address		Patent Division, P.O. Box 6288									
City		INDIANAPOLIS		State		INDIANA		ZIP		46206-6288	
Country		US		Telephone		(317) 276-1665		Fax		(317) 276-3861	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.											
Name of Sole or First Inventor:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor							
Given Name		Rafael		Middle Name				Family Name		FERRITTO CRESPO	
Inventor's Signature								Date		19-8-2003	
Residence: City		Madrid		State				Country		ES	
Address		C/ Artajona 21, 2A									
Post Office Address		SAME AS ABOVE									
City		Madrid		State		28039		Country		ES	
<input type="checkbox"/> Additional Inventors are being named on supplement sheet(s) attached hereto.											

DECLARATION

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Jose	Middle Name	Alfredo	Family Name	MARTIN	Suffix e.g. Jr.	
Inventor's Signature						Date	20-Aug-2003
Residence: City	Madrid	State		Country	ES	Citizenship	ES
Address	C/ Juan Duque 9, 5-B						
Post Office Address	SAME AS ABOVE						
City	Madrid	State		Zip	28005	Country	ES

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Maria	Middle Name	Dolores	Family Name	MARTIN-ORTEGA FINGER	Suffix e.g. Jr.	
Inventor's Signature						Date	25-8-2003
Residence: City	Madrid	State		Country	ES	Citizenship	ES
Address	C/ Frigiliana 2, B, 6°C						
Post Office Address	SAME AS ABOVE						
City	Madrid	State		Zip		Country	ES

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Isabel	Middle Name		Family Name	ROJO GARCIA	Suffix e.g. Jr.	
Inventor's Signature						Date	20-Aug-2003
Residence: City	Madrid	State		Country	ES	Citizenship	ES
Address	Paseo de las Acacias 22, 6ªH						
Post Office Address	SAME AS ABOVE						
City	Madrid	State		Zip		Country	ES

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Quanrong	Middle Name		Family Name	SHEN	Suffix e.g. Jr.	
Inventor's Signature						Date	7/15/2003
Residence: City	Fishers	State	IN	Country	US	Citizenship	CN
Address	10565 Harlowe Drive						
Post Office Address	SAME AS ABOVE						
City	Fishers	State	IN	Zip	46038	Country	US



DECLARATION

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name	Alan <i>Alan</i>	Middle Name	M	Family Name	WARSHAWSKY	Suffix e.g. Jr.	
Inventor's Signature	<i>Alan M. Warshawsky</i>				Date	7/15/2003	
Residence: City	Carmel	State	IN	Country	US	Citizenship	US
Address	13828 Springmill Ponds Circles						
Post Office Address	SAME AS ABOVE						
City	Carmel	State	IN	Zip	46032	Country	US

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name	Yanping	Middle Name		Family Name	XU	Suffix e.g. Jr.	
Inventor's Signature	<i>Yanping Xu</i>				Date	7/16/2003	
Residence: City	Fishers	State	IN	Country	US	Citizenship	CN
Address	13832 Barnett Place						
Post Office Address	SAME AS ABOVE						
City	Fishers	State	IN	Zip	46038	Country	US

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name		Middle Name		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Address							
Post Office Address	SAME AS ABOVE						
City		State		Zip		Country	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name		Middle Name		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Address							
Post Office Address	SAME AS ABOVE						
City		State		Zip		Country	

CERTIFICATE UNDER 37 CFR 3.73(b) DT05 Rec'd PCT/PTO 0.8 DEC 2004Applicant: Rafael Ferritto Crespo, et al.Application No.: US Nat'l Phase of PCT/US2003/016207 Filed: _____Entitled: AMIDE LINKER PEROXISOME PROLIFERATOR ACTIVATED RECEPTOR MODULATORSELI LILLY AND COMPANY, a CORPORATION
(Name of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above.☐ The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame.☒ The assignment is being submitted separately for recordation; a copy of this assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date

12-7-2004MaCharri Vorndran-Jones
Patent Counsel

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:

☒ Practitioners associated with the Customer Number:

25885

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

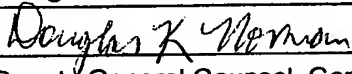
Assignee Name and Address:

Eli Lilly and Company
Patent Division
PO Box 6288
Indianapolis, Indiana 46206-6288

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Douglas K. Norman		
Signature		Date	10 August 2004
Title	Deputy General Counsel, General Patent Counsel	Telephone	317-433-1651

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT

WHEREAS we, Quanrong Shen, of the City of Fishers, County of Hamilton, Alan M. Warshawsky of the City of Carmel, County of Hamilton, and Yanping Xu, of the City of Fishers, County of Hamilton along with Rafael Crespo Ferritto, Jose Alfredo Martin, Marie Dolores Martin-Ortega Finger and Isabel Rojo Garcia, of the City of Madrid, Country of Spain, have made an invention which is the subject of an international patent application under the Patent Cooperation Treaty ("PCT") entitled **AMIDE LINKER PEROXISOME PROLIFERATOR ACTIVATED RECEPTOR MODULATORS**, containing 152 pages, and which is to be filed in the United States Patent and Trademark Office acting as PCT Receiving Office ("Application"); and we hereby authorize and direct our common representative to insert below the filing date and application number when they become known:
PCT/US 03 1 16207, filed 11 JUNE 2003; and

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") our entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications under the Patent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by us had this Assignment and sale to Lilly not been made.

For ourselves and for our heirs, successors and legal representatives, we covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with Lilly that upon request we and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or

its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

IN WITNESS WHEREOF we have executed this assignment on the date indicated below.

7/15/03
Date

Quanrong Shen
Quanrong Shen

7/15/03
Date

Alan M. Warshawsky
Alan M. Warshawsky

7/16/03
Date

Yanping Xu
Yanping Xu

UNITED STATES OF AMERICA

STATE OF INDIANA)
COUNTY OF MARION) ss: 7/16 2003

Before me, a Notary Public for Johnson County, State of Indiana, personally appeared Quanrong SHEN, Alan M. WARSHAWSKY
and Yanping Xu and acknowledged the execution
of the foregoing instrument this 16 day of
July, 2003.

Catherine Michel
Notary Public

My commission expires:

CATHERINE MICHEL
MY COMMISSION EXPIRES
OCTOBER 21, 2008
RESIDENT OF JOHNSON COUNTY

ASSIGNMENT

WHEREAS we, Rafael Crespo Ferritto, Jose Alfredo Martin, Marie Dolores Martin-Ortega Finger and Isabel Rojo Garcia of the City of Madrid, Country of Spain, along with Quanrong Shen, of the City of Fishers, County of Hamilton, Alan M. Warshawsky of the City of Carmel, County of Hamilton, and Yanping Xu, of the City of Fishers, County of Hamilton have made an invention which is the subject of an international patent application under the Patent Cooperation Treaty ("PCT") entitled **AMIDE LINKER PEROXISOME PROLIFERATOR ACTIVATED RECEPTOR MODULATORS**, containing 152 pages, and which is to be filed in the United States Patent and Trademark Office acting as PCT Receiving Office ("Application"); and we hereby authorize and direct our common representative to insert below the filing date and application number when they become known:
PCT/US 0.31 16207, filed 11 JUNE 2003; and

WHEREAS **ELI LILLY AND COMPANY**, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") our entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications under the Patent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by us had this Assignment and sale to Lilly not been made.

For ourselves and for our heirs, successors and legal representatives, we covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with Lilly that upon request we and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or

its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

IN WITNESS WHEREOF we have executed this assignment on the date indicated below.

04 - April - 2003.
Date


Rafael Crespo Ferritto


21 - July - 2003
Date


Jose Alfredo Martin

21 - July - 2003
Date


Isabel Rojo Garcia

21 - July - 2003
Date


Marie Dolores Martin-Ortega Finger